



11-29-02

2131
#3
12-4-02
JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian A. LaMacchia et al. Examiner: Not Yet Assigned
Serial No.: 09/598,534 Group Art Unit: 2131
Filed: June 21, 2000 Docket: MS146911.1/40062.65US01
Confirmation No.: Unknown Notice of Allowance: n/a
Date:
Due Date: November 29, 2002
Title: EVIDENCE-BASED SECURITY POLICY MANAGER

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 082568731 US
Date of Deposit: November 27, 2002

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to, Commissioner for Patents and Trademarks, Washington, D.C. 20231.

By: Jennifer Weck
Name: Jennifer Weck

RECEIVED

DEC 03 2002

Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 9 Reference(s), Copy of International Search Report
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: Steven J. Spellman
Name: Steven J. Spellman
Reg. No.: 45,124
SJSpellman/jw





S/N 09/598,534

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian A. LaMacchia et al. Examiner: Not Yet Assigned
Serial No.: 09/598,534 Group Art Unit: 2131
Filed: June 21, 2000 Docket No.: MS146911.1/40062.65US01
Title: EVIDENCE-BASED SECURITY POLICY MANAGER

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 082568731 US
Date of Deposit: November 27, 2002

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents and Trademarks, Washington, D.C. 20231.

By:

Name: Jennifer Weck

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.704(d))

RECEIVED

Commissioner for Patents
Washington, D.C. 20231

DEC 03 2002

Technology Center 2100

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in an International Search Report mailed October 30, 2002.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

Certification Under 37 C.F.R. § 1.704(d)

In accordance with 37 C.F.R. § 1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement. Accordingly, no patent term adjustment is due for the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Enclosed for the Examiner's information is a copy of the International Search Report.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Dated: 11/27/02



Respectfully submitted,

A handwritten signature in black ink, appearing to read "Steven J. Spellman", written over a horizontal line.

Steven J. Spellman #45,124
MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
303.357.1637